

UTILITY PATENT
APPLICATION TRANSMITTAL

(Only for new nonprovisional applications
under 37 CFR 1.53(b))

Box, if applicable ☐ Duplicate

Attorney
Docket No.

960456B

Total Pages

First Named Inventor or Application Identifier

Shunji NAKAMURA

Express Mail Label No.

PAGE 1 OF 3

APPLICATION ELEMENTS FOR:
SEMICONDUCTOR MEMORY DEVICE AND METHOD
FOR FABRICATING THE SAME

ADDRESS TO: Commissioner for Patents
BOX PATENT APPLICATIONS
Washington, D.C. 20231

1. ☒ Fee Transmittal Form (Incorporated within this form)
2. ☒ Specification Total Pages [79]
3. ☒ Drawing(s) (35 USC 113) Total Sheets [39]
4. ☒ Oath or Declaration Total Pages [2]
 - a. ☐ Newly executed (original or copy)
 - b. ☒ Copy from prior application (37 CFR 1.63(d) (for continuation/divisional with Box 17 completed).
 - i. ☐ Deletion of Inventor(s)
Signed statement attached deleting inventor(s) named in prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☒ Incorporation by reference (usable if box 4b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Microfiche Computer Program (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Copy
 - b. ☐ Paper Copy (identical to computer copy)
 - c. ☐ Statement Verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☒ Assignment to **FUJITSU LIMITED, Kawasaki, Japan** [Name, city and country] in the prior Application Serial No. **08/660,324**, filed on **June 4, 1996**, has been recorded at Reel **8061**, Frame **0193** on **July 31, 1996**.
Please publish the assignee data with the application.
9. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney

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PAGE 2 OF 3

10. ☐ English translation Document (if applicable)
11. ☒ Information Disclosure Statement ☐ Copies of IDS Citations
12. ☒ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)
14. ☐ Small Entity status is claimed.
15. ☒ Claim for Convention Priority ☐ Certified copy of Priority Document(s)
- a. Priority of Japanese application no. 7-137968 filed on June 5, 1995 is claimed under 35 USC 119. The certified copy has been filed in prior application Serial No. 08/660,324. (For Continuing Applications, if applicable).
16. ☐ Other _____
17. ☒ If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:
- ☐ Continuation ☒ Division ☐ Continuation-in-part (CIP) of prior application no. 08/970,212
- a. ☒ Please amend the specification by inserting after the title: --This application is a division of prior application Serial No. 08/970,212 filed November 14, 1997.--
- b. ☒ Cancel in this application original claims 1, 3 and 15-27 of the prior application before calculating the filing fee.

FEE TRANSMITTAL

Number Filed

Number Extra

Rate

Basic
Fee
\$740.00

The filing fee is calculated below

Total Claims

16 - 20

x \$18.00

Independent Claims

6 - 3

3

x \$84.00

\$ 252.00

Multiple Dependent Claims

\$280.00

Basic Filing Fee

\$ 992.00

Reduction by 1/2 for small entity

Fee for recording enclosed Assignment

\$40.00

TOTAL

\$ 992.00

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	PAGE 3 OF 3	

☒ Checks in the amount of **\$ 992.00** are enclosed to cover the filing fee.

☐ Please charge our Deposit Account No. **01-2340** in the total amount of \$ to cover the filing fee and the \$ assignment recordation fee. A duplicate of this sheet is attached.

☒ The Commissioner is hereby authorized to charge payment for any additional filing fees required under 37 CFR 1.16 or credit any overpayment to Deposit Account No. **01-2340**. A duplicate of this sheet is attached.

18. CORRESPONDENCE ADDRESS:



23850

PATENT TRADEMARK OFFICE

SUBMITTED BY

Typed or Printed Name **Sadao Kinashi**

Reg. No. **48,075**

Signature

Sadao Kinashi

Date: **December 6, 2001**